

32692

Customer Number



Patent

Case No.: 59414US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 10/726790 Group Art Unit: 2879  
Filed: December 2, 2003 Examiner: Unknown  
Title: PHOSPHOR BASED LIGHT SOURCE COMPONENT AND METHOD OF MAKING

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 24, 2004  
Date

Andrea Newhouse  
Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

23 Nov. 2004  
Date

By: Stephen C. Jensen  
Stephen C. Jensen, Reg. No.: 35,207  
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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 4



|                      |                      |
|----------------------|----------------------|
| Application Number   | 10/762678            |
| Filing Date          | January 20, 2004     |
| First Named Inventor | Ouderkirk, Andrew J. |
| Art Unit             | 2875                 |
| Examiner Name        | Unknown              |
| Attorney Case Number | 59414US002           |

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| Exam.<br>Init.* | Cite<br>No. | Document Number                  | Publication Date or<br>Issue Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------------|
| <b>Substitute for form 1449A/PTO (modified)</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary)<br><br>Page 2 of 4 | <b>Application Number</b>   | <b>10/762678</b>            |
|                                                                                                                                                                             | <b>Filing Date</b>          | <b>January 20, 2004</b>     |
|                                                                                                                                                                             | <b>First Named Inventor</b> | <b>Ouderkirk, Andrew J.</b> |
|                                                                                                                                                                             | <b>Art Unit</b>             | <b>2875</b>                 |
|                                                                                                                                                                             | <b>Examiner Name</b>        | <b>Unknown</b>              |
|                                                                                                                                                                             | <b>Attorney Case Number</b> | <b>59414US002</b>           |

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| <b>*Examiner:</b>                                                                                                                                                                                                                        | <b>Date Considered:</b> |
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*Information Disclosure Statement)*

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|                                                                                                                                                                             | <b>First Named Inventor</b> | Ouderkirk, Andrew J. |
|                                                                                                                                                                             | <b>Art Unit</b>             | 2875                 |
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